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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE spond to a collection of information unless it contains a valid OMB control number. PADEN Complete if Known Substitute for form 1449A/PTO **Application Number** 09/938,871 INFORMATION DISCLOSURE Filing Date August 24, 2001 STATEMENT BY APPLICANT First Named Inventor JOHN H. JERMAN Group Art Unit 2834 (use as many sheets as necessary) **Examiner Name** to be assigned Sheet of **Attorney Docket Number** A-69713/ENB **U.S. PATENT DOCUMENTS** U.S. Patent Document Cite Pages, Columns, Lines, Date of Publication of Examiner Name of Patentee or Applicant No. Where Relevant Initials* Cited Document of Cited Document Kind Code² Passages or Relevant Number MM-DD-YYYY (if known) Figures Appear OW 5,536,988 ZHANG et al. 07/16/96 300 W 5,963,367 AKSYUK, et al. 10/05/99 FOREIGN PATENT DOCUMENTS Cite Foreign Patent Document Pages, Columns, Lines, Examiner Date of Publication of No.1 Name of Patentee or Applicant Where Relevant Initials* Cited Document of Cited Document Passages or Relevant MM-DD-YYYY Kind Code² T6 Figures Appear Number⁴ Office³ (if known) WO 01/43268 A2 IOLON, INC. 06/14/01 OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), T^2 Initials* No.1 publisher, city and/or country where published. Motamedi et al., "On-chip Optical Processing", SPIE conference held in Austin, Texas on Microelectronic Structures and MEMS for Optical Processing as part of Micromachining and Microfabrication, October 14-15, 1996, pp. 1-34.

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